



U.S. Department  
of Transportation  
**Federal Transit  
Administration**

REGION IX  
Arizona, California,  
Hawaii, Nevada, Guam,  
American Samoa,  
Northern Mariana Islands

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January 27, 2023

Robert Powers  
General Manager  
San Francisco Bay Area Rapid Transit District  
PO Box 12688  
Oakland, CA 94604-2688

Re: FY 2022 Triennial Review Closeout

Dear Mr. Powers:

Thank you for the San Francisco Bay Area Rapid Transit District's responses to the deficiencies reported in the Fiscal Year (FY) 2022 Triennial Review. The responses indicated completion of the corrective actions on the recommendations contained in the review areas of Financial Management and Capacity, Technical Capacity – Award Management, Technical Capacity – Program Management, Satisfactory Continuing Control, Procurement, and Drug Free Workplace Act. After review of the documentation submitted, we conclude that the corrective actions on the reported recommendations have been achieved and that no further correspondence is required.

We have attached a copy of the finding summary with the closing dates for your records.

If you have any questions or comments, please contact Philoki Barros, the assigned FTA oversight Transportation Program Specialist, at 415-734-9452 or by e-mail at [philoki.barros@dot.gov](mailto:philoki.barros@dot.gov).

Sincerely,

For Ray Tellis  
Regional Administrator

Attachment

**San Francisco Bay Area Rapid Transit District**  
**ID NO.: 1957**  
**FY 2022 TRIENNIAL REVIEW**

Deficiencies

Deficiency Code	Review Area	Open Date	Original Due Date	Extended Due Date	Close Date	Status
F1-1 - Lacking/missing required written financial management policies and procedures	Financial Management and Capacity	6/28/2022	8/17/2022		6/28/2022	Closed: Resolved
F4-1 - ECHO documentation deficient	Financial Management and Capacity	6/28/2022	8/17/2022		6/28/2022	Closed: Resolved
F8-1 - Ineligible operating expense calculation	Financial Management and Capacity	6/28/2022	8/17/2022		6/28/2022	Closed: Resolved
TC-AM5-1 - Inactive award/untimely closeouts	Technical Capacity – Award Management	6/28/2022	8/17/2022	10/28/2022	11/9/2022	Closed: Resolved
TC-PgM7-1 - Inadequate oversight of subrecipients	Technical Capacity – Program Management and Subrecipient Oversight	6/28/2022	8/17/2022	10/28/2022	11/9/2022	Closed: Resolved
SCC8-3 - Inadequate equipment records	Satisfactory Continuing Control	6/28/2022	8/17/2022		6/28/2022	Closed: Resolved
P11-1 - Missing FTA clauses	Procurement	6/28/2022	10/7/2022	12/30/2022	1/26/2023	Closed: Resolved
DFWA1-1 - No written DFWA policy	Drug-Free Workplace Act	6/28/2022	10/7/2022		10/30/2022	Closed: Resolved